

No. 05-445

In The
Supreme Court of the United States

—◆—
THE LUMMI NATION, et al.,

Petitioners,

v.

SAMISH INDIAN TRIBE,

Respondent.

—◆—
**On Petition For A Writ Of Certiorari
To The United States Court Of Appeals
For The Ninth Circuit**

—◆—
BRIEF IN OPPOSITION

—◆—
CRAIG J. DORSAY
Counsel of Record
2121 S.W. Broadway, Suite 100
Portland, OR 97201-3180
(503) 790-9060

Counsel for Respondent
Samish Indian Tribe

QUESTIONS PRESENTED

Respondent Samish Indian Nation¹ believes that petitioners have presented questions in their petition for certiorari that were not part of the case below and are not properly part of the case now. The Samish Indian Nation believes that the following questions frame the issues correctly, as those issues have actually been presented by petitioners.

1. Is congressionally delegated Executive Branch discretionary authority to determine whether an Indian entity should be recognized as an Indian tribe for purposes of the United States Constitution subject to limitation or judicial review under the political question doctrine other than as expressly provided for in federal acknowledgment procedures voluntarily adopted by the Executive Branch?
2. Is a fact-based, individual determination made pursuant to controlling United States Supreme Court and Ninth Circuit precedent under F.R.C.P. 60(b)(6) that extraordinary circumstances existed that effectively prevented a party from prosecution or defense of a previous action in proper fashion subject to review under other, inapplicable subsections of F.R.C.P. 60(b)?

¹ The Samish Indian Tribe passed a revised tribal constitution in 2004 adapting the official name “Samish Indian Nation.” Both this official name and the historic name of the Tribe, “Samish Indian Tribe,” are used interchangeably.

**LIST OF PARTIES TO
THE PROCEEDING BELOW**

The Samish Indian Tribe initiated sub-proceeding 01-2 before the District Court in *United States v. Washington*, Civ. No. 70-9213 (W.D.Wash.) by filing a motion to vacate a judgment against the Tribe under F.R.C.P. 60(b)(6). Twenty two Indian tribes with treaty status are presently parties in that proceeding. The United States of America and ten Indian tribes (Lummi Nation, Swinomish Indian Tribal Community, Skokomish Indian Tribe, Tulalip Tribes, Jamestown, Lower Elwha and Port Gamble Bands of S'Klallam Tribes, Puyallup Indian Tribe, Upper Skagit Tribe, and Suquamish Tribe) were active intervenors and participants in the District Court in opposition to the Samish Tribe's motion. The State of Washington took no position on the Samish 60(b)(6) motion. The United States and the same ten tribes filed briefs in opposition to the Samish Tribe's appeal to the United States Court of Appeals for the Ninth Circuit. The United States and nine tribes (Lummi, Swinomish, Tulalip, Jamestown, Port Gamble, Lower Elwha, Puyallup, Upper Skagit and Suquamish) filed petitions for rehearing and suggestion for rehearing en banc of the Ninth Circuit's January 5, 2005 decision in favor of the Samish Tribe. Two tribes, the Yakama Indian Nation and the Nisqually Tribe, filed amicus briefs in support of the petitions for rehearing and suggestion for rehearing en banc. Seven tribes (Tulalip, Lummi, Swinomish, Yakama, Upper Skagit, Port Gamble and Jamestown) filed the pending petition.¹

¹ One of petitioning tribes, the Yakama Indian Nation, participated only as amicus below and therefore is not qualified to file a petition for certiorari. *In re Leaf Tobacco Board*, 222 U.S. 578, 581 (1911).

RULE 29.6 STATEMENT

Respondent is a federally recognized Indian tribe that has no parents, and there are no publicly held companies that hold any stock of petitioner.

TABLE OF CONTENTS

	Page
QUESTIONS PRESENTED	i
LIST OF PARTIES TO THE PROCEEDING BELOW....	ii
RULE 29.6 STATEMENT	iii
TABLE OF CONTENTS	iv
TABLE OF AUTHORITIES	v
BRIEF IN OPPOSITION	1
STATEMENT OF THE CASE	1
REASONS WHY PETITIONERS' PETITION FOR A WRIT OF CERTIORARI SHOULD NOT BE GRANTED	12
A. The Ninth Circuit's Ruling Did Not Deny Petitioners Due Process Of Law	12
B. The Ninth Circuit's Decision On Rule 60(B)(6) Issues Is Consistent With Precedent And Does Not Create A Conflict With Other Circuits	19
CONCLUSION	21

TABLE OF AUTHORITIES

Page

CASES:

<i>Ackermann v. United States</i> , 340 U.S. 193 (1950).....	20
<i>California v. FERC</i> , 329 F.3d 700 (9th Cir. 2003).....	12
<i>Chemehuevi Indian Tribe v. Cal. St. Bd. of Equal.</i> , 757 F.2d 1047 (9th Cir.), <i>rev'd on other grounds</i> , 474 U.S. 9 (1985)	16
<i>Cnty. Dental Servs. v. Tani</i> , 282 F.3d 1164 (9th Cir. 2002).....	20
<i>Confederated Tribes of Chehalis Indian Reservation v. Washington</i> , 96 F.3d 334 (9th Cir. 1996).....	4
<i>Delaware Tribal Business Comm. v. Weeks</i> , 430 U.S. 73 (1977)	14
<i>Geer v. Connecticut</i> , 161 U.S. 519 (1896)	18
<i>Greene v. Babbitt</i> , 64 F.3d 1266 (9th Cir. 1995)	1, 2, 15
<i>Greene v. Babbitt</i> , 943 F.Supp. 1278 (W.D.Wash. 1996).....	11
<i>Greene v. Lujan</i> , No. C89-645Z (W.D.Wash.), Order dated Feb. 25, 1992, 1992 WL 533059 (unre- ported).....	9, 13
<i>Greene v. United States</i> , 996 F.2d 973 (9th Cir. 1993).....	<i>passim</i>
<i>In re Leaf Tobacco Board</i> , 222 U.S. 578 (1911).....	ii
<i>Klapprott v. United States</i> , 335 U.S. 601 (1949).....	20
<i>Liljeberg v. Health Services Acquisition Corp.</i> , 486 U.S. 847 (1988)	20
<i>Miami Nation of Indians v. Dept. of Interior</i> , 255 F.3d 342 (7th Cir. 2001).....	15, 16

TABLE OF AUTHORITIES – Continued

	Page
<i>Menominee Indian Tribe v. United States</i> , 391 U.S. 404 (1968)	18
<i>Portland Audubon Society v. Hodel</i> , 866 F.2d 302 (9th Cir.), <i>cert. denied</i> , 492 U.S. 911 (1989)	12
<i>Samish Indian Nation v. United States</i> , 419 F.3d 1355 (Fed. Cir. 2005)	4, 11, 14, 15, 16
<i>Samish Indian Tribe v. State of Washington</i> , 394 F.3d 1152 (9th Cir. 2005)	<i>passim</i>
<i>Samish Tribe of Indians v. United States</i> , 6 Ind. Cl. Commn. 169 (1958)	15
<i>Seminole Indian Tribe v. State of Florida</i> , 517 U.S. 44 (1996)	14
<i>United States v. Alpine Land & Reservoir Co.</i> , 984 F.2d 1047 (9th Cir. 1993)	20
<i>United States v. Holliday</i> , 70 U.S. (3 Wall.) 407 (1865)	14
<i>United States v. Washington</i> , 384 F.Supp. 312 (W.D.Wash. 1974), <i>aff'd</i> , 520 F.2d 676 (9th Cir. 1975)	<i>passim</i>
<i>United States v. Washington</i> , 476 F.Supp. 1101 (W.D.Wash. 1979), <i>aff'd</i> , 641 F.2d 1368 (9th Cir. 1981), <i>cert. denied</i> , 454 U.S. 1143 (1982)	<i>passim</i>
<i>United States v. Washington</i> , 459 F.Supp. 1020 (W.D.Wash. 1974-75)	3
<i>United States v. Washington</i> , 157 F.3d 630 (9th Cir. 1998)	8, 17, 18

TABLE OF AUTHORITIES – Continued

	Page
CONSTITUTIONAL AND STATUTORY PROVISIONS:	
28 U.S.C. § 2072	16
OTHER AUTHORITIES:	
F.R.C.P. 24.....	16
F.R.C.P. 60(b)(1).....	19
F.R.C.P. 60(b)(3).....	19, 20
F.R.C.P. 60(b)(6).....	<i>passim</i>
25 C.F.R. Part 83	8, 9, 12, 16
25 C.F.R. § 83.1.....	16
25 C.F.R. § 83.11.....	16
25 C.F.R. § 89.41.....	7
Supreme Court Rule 10.....	20
F. Cohen, Handbook of Federal Indian Law 5-6 (1982 ed.).....	15

BRIEF IN OPPOSITION

The Respondent, Samish Indian Tribe, respectfully requests that this Court deny the petition for a writ of certiorari seeking review of the Ninth Circuit's opinion in this case. That opinion is reported at 394 F.3d 1152 (2005).

**STATEMENT OF THE CASE**

The decision of the Ninth Circuit Court of Appeals in *Samish Indian Tribe v. State of Washington*, 394 F.3d 1152 (9th Cir. 2005) ("*Samish Indian Tribe*") follows and is consistent with both prior precedent in *United States v. Washington*² and with the Ninth Circuit's previous Samish recognition related decisions.³ There is no conflict between the *Samish Indian Tribe* decision and the decisions of other circuits regarding application and interpretation of F.R.C.P. 60(b)(6). The Ninth Circuit's application of this Rule to the unique factual circumstances of the Samish

² This brief will follow the nomenclature used by the Ninth Circuit in the *Samish Indian Tribe* decision to label the relevant *United States v. Washington* decisions. The original *U.S. v. Washington* decision, both district court and Ninth Circuit opinions, is referred to as "*Washington I.*" 384 F.Supp. 312 (W.D.Wash. 1974), *aff'd*, 520 F.2d 676 (9th Cir. 1975). See *Samish Indian Tribe*, 394 F.3d at 1154. The original district court decision denying treaty status to the Samish Indian Tribe in 1979 is referred to as "*Washington II.*" 476 F.Supp. 1101 (W.D.Wash. 1979). *Samish Indian Tribe*, 394 F.3d at 1153. The Ninth Circuit's 1981 decision affirming the denial of Samish treaty status is referred to as "*Washington III.*" 641 F.2d 1368 (9th Cir. 1981). *Samish Indian Tribe*, 394 F.3d at 1155.

³ There are two such decisions, *Greene v. United States*, 996 F.2d 973 (9th Cir. 1993) ("*Greene I.*"), and *Greene v. Babbitt*, 64 F.3d 1266 (9th Cir. 1995) ("*Greene II.*").

Indian Nation was both proper and consistent with the law.

This case involves the Ninth Circuit's conclusion that the Samish Indian Tribe must be allowed to join the twenty two (22) other Indian tribes that presently enjoy treaty status and who are parties in the ongoing *United States v. Washington* proceeding in the United States District Court for the Western District of Washington. Seven of these twenty two tribes object to the decision of the Ninth Circuit and have petitioned this Court for certiorari of the decision,⁴ claiming that recognizing the treaty status of an additional signatory Indian tribe would "dilute" their treaty fishing allocations. *See Greene I, supra*, 996 F.2d at 976 ("[Tulalip Tribes] argue that their treaty fishing allocations are threatened by dilution."); *Greene II, supra*, 64 F.3d at 1270 ("The Tulalip Tribe has participated in this litigation because of concern that recognition of the Samish as a Tribe could lead to Samish eligibility for treaty fishing rights in already over-fished fisheries.")⁵

⁴ The United States was a party in opposition to the Samish Tribe in the District Court and Ninth Circuit but did not submit a petition for certiorari in the present case. Petitioners have suggested that the Court solicit the views of the United States on their petition, *Petition for Certiorari*, p. 19, but the decision not to appeal speaks for itself. As will be discussed below, the *Samish Indian Tribe* decision has no effect on federal interests; in fact, it preserves the federal government's plenary authority over Indian affairs.

⁵ Before economic considerations took precedence, *see Greene I, supra*, 996 F.2d at 976 ("An economic stake in the outcome of the litigation, even if significant, is not enough."), some of the tribes now opposing the Samish Tribe supported Samish treaty status. *See, e.g.*, Jamestown Klallam Tribe, Tribal Council Resolution # 64-82, Sept. 20, 1982 ("Whereas, the Jamestown Klallam Indian Tribe recognizes the

(Continued on following page)

Two separate categories of Indian tribes descended from treaty signatories obtained treaty status in the original and in subsequent *United States v. Washington* decisions: tribes that were federally recognized were automatically granted treaty status⁶ while unrecognized tribes had to prove that they had continuously maintained an organized tribal structure. *Samish Tribe, supra*, 394 F.3d at 1155; *Washington I, II, and III*. Federally

Samish Indian Tribe as the historical and legal successors to the Samish Indian Tribe on a Government-to-Government basis;”); *United States v. Washington*, No. 79-4447, Ninth Circuit Court of Appeals, Brief of Plaintiff Suquamish Indian Tribe in Support of Plaintiff-Intervenor Samish Indian Tribe, Nov. 15, 1979, p. 12 (“The Samish Tribe was a party to the Treaty of Point Elliott. . . . The district court’s decision as to the Samish tribe should be reversed.”).

⁶ The District Court used virtually identical language in *Washington I* to confirm treaty status for every recognized tribe that became a party in *United States v. Washington*:

The ___ Tribe is the present-day tribal entity which, with respect to the matters that are the subject of this litigation, is a political successor in interest to some of the Indian tribes and bands which were parties to the Treaty of _____. It is recognized by the United States as a currently functioning Indian tribe maintaining a tribal government on the _____ Reservation. This tribe is organized pursuant to section 16 of the Indian Reorganization Act of June 18, 1934, 48 Stat. 987, 25 U.S.C. § 476. Its membership is determined in accordance with its Constitution and bylaws approved by the Assistant Secretary of the Interior on _____, 19___. Its present membership roll was approved by a representative of the Secretary of the Interior on _____, 19___. The tribe presently has approximately ___ members.

See Washington I, 384 F.Supp. 312, 360 (Lummi); 379 (Upper Skagit); 380 (Yakama) (W.D. Wash. 1974); *United States v. Washington*, 459 F.Supp. 1020, 1039 (Swinomish); 1039 (Tulalip); 1039 (Port Gamble) (W.D. Wash. 1974-75). The only variation in this wording regarded federal approval of a tribe’s constitution and membership roll, and which federal official granted such approval.

recognized tribes did not have to prove that they had continuously maintained an organized tribal structure; federal recognition (with descendance from a treaty signatory) was in and of itself “sufficient” to make this showing. *Samish Indian Tribe*, 394 F.3d at 1157-59. See *Confederated Tribes of Chehalis Indian Reservation v. Washington*, 96 F.3d 334 (9th Cir. 1996) (federally recognized tribe that walked away from treaty grounds does not have treaty rights).

The Samish Indian Tribe was wrongfully dropped in 1969 from an internal list of Indian tribes kept and used by United States Department of the Interior employees to determine which Indian tribes were federally recognized. See *Samish Indian Nation v. United States*, 419 F.3d 1355, 1373-74 (Fed. Cir. 2005) (“*Samish Indian Nation*”).⁷ The Samish Tribe did not know at that time that its federal recognition had secretly been “lost,” and in 1972 made the first of four requests to the Department of Interior for confirmation of its status as a federally recognized tribe. *Samish Indian Tribe*, *supra*, 394 F.3d at 1155 (“The Samish had first sought federal recognition in 1972, three years after a Bureau of Indian Affairs (“BIA”) employee removed the Samish from a list used to determine whether a tribe was federally recognized.”). When the Samish

⁷ Both 2005 Samish Court of Appeals decisions are consistent on this issue. The Ninth Circuit in *Samish Indian Tribe* stated the logical outcome arising from these facts – that if the Samish Tribe had been federally recognized at the time it sought treaty status in *United States v. Washington*, the tribe “would almost certainly have won the right to exercise its treaty fishing rights.” 394 F.3d at 1159. The Federal Circuit Court of Appeals in *Samish Indian Nation* held that the Samish Tribe was historically federally recognized and should have been federally recognized at the time Samish intervened in *United States v. Washington*. 419 F.3d at 1374.

Indian Tribe intervened in *United States v. Washington* in August 1974, the United States relied upon the list from which Samish had been dropped and took the position that the Samish Tribe was not federally recognized or entitled to that status. The Samish Tribe failed to prove at that time that it was entitled to exercise treaty rights under the legal standard applicable to unrecognized Indian tribes. *Washington II* and *III*, cert. denied, 454 U.S. 1143 (1982).

In both *Washington II* and *Washington III*, the United States, the United States District Court, and those tribes opposing Samish treaty status all suggested that future federal recognition of the Samish Tribe would probably warrant reexamination of the Samish Tribe's treaty fishing rights. *Samish Indian Tribe*, supra, 394 F.3d at 1155.⁸ These promises were in substantial part the basis for the Ninth Circuit's decision that the Samish Tribe had shown extraordinary circumstances for purposes of Rule 60(b)(6) sufficient to vacate the judgment against the Samish Tribe in *Washington II*. See *Samish Indian Tribe*, supra, 394 F.3d at 1159.

⁸ The most explicit of these promises was made to this Court by the United States in its Brief for United States in Opposition to Petition for Writ of Certiorari, where the United States said: "should [the Samish] succeed in obtaining 'acknowledgment' of their current status as [an] 'Indian tribe[]' in the pending administrative proceedings, this might justify an application to re-open the present judgment against them." No. 81-509, p. 12, n.7. *Samish Indian Tribe*, supra, 394 F.3d at 1155 n.4. The United States represented that federal recognition of a tribe as the successor in interest to a treaty party "may well be controlling," under the political question doctrine and the deference that the federal courts must give the Executive Branch with regard to its dealings with Indian tribes. *Id.*; 394 F.3d at 1158 n.8.

The Samish Indian Tribe pursued federal recognition in light of the representations that had been made to the Tribe by the United States, the District Court, and some treaty tribes. *Samish Indian Tribe, supra*, 394 F.3d at 1155. The United States and the Indian tribes filing the present certiorari petition vigorously opposed Samish's recognition application. *Id.*, at 1156; *Greene I, supra*. After seventeen years of litigation, the Samish Tribe finally achieved full federal recognition in November 1996 – with reinstatement of factual findings wrongfully removed by the Assistant Secretary for Indian Affairs after ex parte meetings with federal advocates. The Tulalip and other tribes sought to intervene in the Samish recognition proceeding on the ground that Samish recognition might affect their treaty rights. *Greene I, supra*, 996 F.2d at 975-76. The District Court and Ninth Circuit ruled that Tulalip and the other tribes “ha[d] no protectable interest” that would entitle them to intervene on the issue of Samish recognition. *Id.* at 978.⁹

⁹ Contrary to the assertion made in the certiorari petition, Petition, p. 10, the Ninth Circuit has never ruled that the Tulalip and other opposition tribes would have the right to intervene in the Samish recognition proceeding if they could show their treaty rights would be impacted; the Ninth Circuit in *Greene I* expressly rejected this argument. 996 F.2d at 976-978. As the Ninth Circuit observed several times, Tulalip and other opposition tribes **would** have an opportunity to weigh in on Samish treaty status outside the Samish recognition proceeding because Samish could challenge the prior treaty judgment against it only in the ongoing *United States v. Washington* proceeding, and the other tribes were already parties to that proceeding. *Id.* Samish undertook such a direct challenge in *United States v. Washington* by filing its Rule 60(b)(6) motion. Opposition tribes will also have an opportunity to argue their interests once Samish files to intervene in *United States v. Washington* to exercise its treaty rights.

After achieving formal federal recognition and reorganizing its tribal government, the Samish Tribe asked the United States to bring an action on the Tribe's behalf to reopen the judgment against the Samish Tribe in *United States v. Washington*. The Department of Interior and Department of Justice took three years to deny the Samish Tribe's request, upon which the Samish Tribe became eligible for discretionary attorney fee funding under 25 C.F.R. § 89.41. Soon after obtaining this funding, the Samish Tribe petitioned the District Court in *United States v. Washington* to vacate the judgment against the Tribe pursuant to F.R.C.P. 60(b)(6).

The District Court denied the Samish Tribe's motion on the basis that federal recognition was irrelevant to the issue of treaty status and because of finality concerns. The Ninth Circuit reversed, concluding that findings of fact underlying the Samish Tribe's federal recognition, the United States' express promise to revisit Samish treaty status upon successful federal recognition, and other extraordinary circumstances had prevented the Tribe from proving its tribal status in proper fashion. 394 F.3d at 1159. The Ninth Circuit held that these extraordinary circumstances justified reopening the judgment against the Samish Tribe in *Washington II*.

Contrary to assertions made in the petition for certiorari, the Ninth Circuit's decision in *Samish Indian Tribe* is fully consistent with prior decisions of this Court, decisions of the Ninth Circuit, and decisions of other Circuits. This case involves subsection (6) of Rule 60(b) and does not implicate other subsections of that Rule. The decision will not upset settled decisions in *United States v. Washington*. When the Samish Tribe obtains treaty status after a hearing on remand of this case, the District Court will

then have authority to re-allocate the tribal harvest share and other details of actual exercise of Samish treaty rights, applying equitable considerations. *United States v. Washington*, 157 F.3d 630, 652-55 (9th Cir. 1998) (allocation of treaty harvest subject to equitable considerations and balancing of interests of parties); *Greene I, supra*, 996 F.2d at 977 (“[‘*Washington I* district court’] is the forum that will resolve ultimately any attempt to reallocate treaty fishing rights and that is the forum where Tulalip *and all other interested parties* can have their say.” (emphasis in original)). Speculative consideration of possible dilution of tribal harvest share is premature before this Court.

In its two earlier Samish decisions, the Ninth Circuit made it clear beyond dispute that a Rule 60(b)(6) challenge to the 1979 judgment against Samish treaty status was “inevitable” if the Samish Tribe prevailed in the then unlikely possibility¹⁰ of achieving federal recognition. *E.g.*, *Greene I, supra*, 996 F.2d at 977 (“The [Tulalip] Tribe is no doubt correct that should the Samish prevail before the BIA and gain recognition, the next step would be to assert fishing rights as well.”); 978 (“a direct challenge to the allocation of treaty fishing rights . . . may be inevitable”). Petitioners have not presented an accurate picture of how Samish treaty rights were raised in the Samish recognition proceedings.

¹⁰ The Bureau of Indian Affairs had denied the Samish Tribe’s petition for federal acknowledgment under the Federal Acknowledgment Regulations in 1982 and 1987, in proceedings later found by the District Court and Ninth Circuit to have violated the due process rights of the Samish Tribe. *See Samish Indian Tribe, supra*, 394 F.3d at 1155-56; *Greene II, supra*, 64 F.3d at 1269 (history of Samish recognition petitions), 1271-1274 (Samish had property interest entitled to due process before cutoff of benefits); 25 C.F.R. Part 83.

Both the district court and Ninth Circuit correctly ruled that **for purposes of the Samish recognition proceeding**, the judgment against Samish in *Washington II* was res judicata. *See* Petition for Certiorari, pp. 5-6. The courts did not say that Samish treaty status could never be reconsidered under any circumstances or in any forum; the courts said only that Samish treaty status could not be relitigated in the Samish recognition proceedings and that Samish could not use its treaty status as a ground to achieve federal recognition. *Greene I, supra*, 996 F.2d at 977 (“the Samish need not assert treaty fishing rights to gain federal recognition”); *Greene v. Lujan*, No. C89-645Z (W.D.Wash.), Order dated Feb. 25, 1992, 1992 WL 533059 (unreported). What the Ninth Circuit and District Court did say on the issue of relitigating Samish treaty status was that any Samish challenge to the judgment against Samish in *Washington II* and *III* must take place in the ongoing *United States v. Washington* proceeding. *E.g.*, *Greene I, supra*, 996 F.2d at 977-78.

In *Samish Indian Tribe*, the Ninth Circuit examined the findings of fact underlying Samish administrative recognition by the United States – made pursuant to the federal acknowledgment criteria in 25 C.F.R. Part 83 – and concluded that in achieving recognition Samish also met the standard necessary to exercise treaty rights. 394 F.3d at 1158. The Court of Appeals then examined its precedent and found that it had never concluded that federal recognition is irrelevant to a tribe’s exercise of treaty rights. *Id.* The Ninth Circuit found that it “ha[d] never held that federal recognition is not a *sufficient* condition for the exercise of [‘treaty fishing’] rights.” *Id.* (Emphasis in original). The Ninth Circuit then concluded: “Although we have never explicitly held that federal recognition

necessarily entitles a signatory tribe to exercise treaty rights, this is an inevitable conclusion.” *Id.* at 1159. Integrating its prior precedents with the findings of fact made in the Samish recognition proceeding that the Samish Tribe was a party to the Treaty of Point Elliott, has been continuously identified throughout history as Indian and has existed as a distinct community maintaining political influence within itself as an autonomous entity since first sustained European contact, the Ninth Circuit ruled: “As the Samish are a signatory tribe and have proved the single necessary and sufficient condition for the exercise of treaty rights, the res judicata effect of *Washington II* is all that is keeping the Samish from pursuing its treaty rights.” 394 F.3d at 1160.

As this language reflects, the *Samish Indian Tribe* decision does not dispense with the res judicata effect of the Samish judgment in *Washington II*. The Ninth Circuit in *Samish Indian Tribe* decided, based on facts in the Samish recognition proceeding and extraordinary circumstances, that standards established under Rule 60(b)(6) require that the judgment in *Washington II* be set aside and that the Samish Tribe be allowed to revisit the issue of its treaty status in *United States v. Washington*. The Court held that the Samish Tribe was effectively prevented from proving its tribal status in *Washington II* in proper fashion because of the

government’s “excessive delays and . . . misconduct” in withholding recognition from the Samish, a circumstance beyond their control; the government’s position in *Washington II* that federal recognition was necessary and that future federal recognition might justify revisiting the treaty rights issue; and the district court’s erroneous conclusion that nonrecognition was

decisive and wholesale adoption of the United States' boiler-plate findings of fact in *Washington II*. . . .

394 F.3d at 1159. The Samish Tribe met the standards established by Rule 60(b)(6) under these facts because “federal recognition is determinative of the issue of tribal organization, the issue upon which the Samish were denied treaty rights in *Washington II*”: “As the Samish’s lack of recognition was a circumstance beyond the tribe’s control, their subsequent recognition is an extraordinary circumstance that warrants setting aside the judgment in *Washington II*.” 394 F.3d at 1159, 1161.¹¹



¹¹ This finding that the Samish Tribe was effectively prevented by the federal government from challenging denial of federal recognition was recently confirmed by the Federal Circuit Court of Appeals in a separate proceeding. *Samish Indian Nation, supra*, 419 F.3d at 1373 (“Because tribal recognition remains a political question, the trial court erred in holding that Samish ‘could have pursued the present action in court before the administrative proceedings [concerning the Samish petition for federal acknowledgment] were concluded. . . . [T]he Samish cause of action for retroactive benefits did not accrue until they obtained a final determination from the district court, through their APA challenge, that the government’s conduct underlying its refusal to accord federal recognition, before 1996, was arbitrary and capricious.” The Federal Circuit concluded that the Samish Tribe should always have been federally recognized, *Id.* at 1373, citing the District Court’s decision: “[T]he district court finally established that the government wrongfully withheld the Samish federal acknowledgment and disregarded facts that would have supported historic recognition. . . . [T]hose findings support the Samish contention that but for the government’s arbitrary and capricious treatment the Samish would have been extended federal recognition prior to 1996.” *Id.* at 1374 (citing *Greene v. Babbitt*, 943 F.Supp. 1278 (W.D.Wash. 1996) (“*Greene III*”). As the Ninth Circuit noted in *Samish Indian Tribe*, “The Samish would almost certainly have won the right to exercise its treaty fishing rights had the tribe been federally recognized at the time of *Washington II*. 394 F.3d at 1159.

**REASONS WHY PETITIONERS'
PETITION FOR A WRIT OF CERTIORARI
SHOULD NOT BE GRANTED**

A. The Ninth Circuit's Ruling Did Not Deny Petitioners Due Process Of Law.

Petitioners argue that the District Court's rejection in 1989 and 1992 and the Ninth Circuit's rejection in 1995 of the Tulalip Tribes' attempt to intervene and participate in the Samish administrative recognition proceeding pursuant to 25 C.F.R. Part 83 denied it and other similarly situated tribes due process. Petition for Certiorari, pp. 9-12. Petitioners' argument is based upon the erroneous belief that the District Court and Ninth Circuit told the Tulalip Tribes that the Samish Tribe would never be able to revisit its treaty rights even if it successfully achieved federal recognition. As discussed above in the Statement of the Case, this belief is patently wrong. No such promise was ever made; in fact, the Ninth Circuit several times stated the opposite – a challenge by the Samish to the judgment in *Washington II* was inevitable if the Samish Tribe successfully achieved federal recognition.

To have a due process right, a party must have a "significantly protectable interest." *Greene I, supra*, 996 F.2d at 976 (citing *Portland Audubon Society v. Hodel*, 866 F.2d 302, 309 (9th Cir.), *cert. denied*, 492 U.S. 911 (1989)).¹²

¹² Petitioners assert on page 11 of their petition that any party "affected" by government action must be given due process, citing *California v. FERC*, 329 F.3d 700, 708 n.6 (9th Cir. 2003). That is not what that decision actually says. Earlier in the decision the court stated that a party must have a "legally protected interest" to qualify for constitutional due process, 329 F.3d at 707, and the Court assumed for purposes of the case, without deciding the issue, that the party in question had been deprived of a liberty or property interest. *Id.*, n.3.

Both the District Court and the Ninth Circuit during the appeal of the 1987 BIA administrative decision denying the Samish Tribe federal recognition held that the Tulalip Tribes had no protectable interest on the issue of Samish recognition. *Greene I, supra*, 996 F.2d at 978; see *Greene v. Lujan*, No. C89-645Z, Transcript of Hearing on Tulalip Motion to Intervene, Oct. 12, 1989, p. 15 (quoted in Samish Indian Tribe's Answer to Petitions for Rehearing and Rehearing En Banc, and Amicus Briefs, No. 03-35145, United States Court of Appeals for the Ninth Circuit, April 25, 2005, p. 6).

Contrary to petitioners' arguments, Petition for Certiorari, p. 10, the Ninth Circuit has never acknowledged or held that the Tulalip Tribes would have had a right to intervene in the Samish recognition proceeding if Samish recognition had an impact on Samish treaty status. The Ninth Circuit did not find in either *Greene I* or *II* that the Tulalip Tribes had a significantly protectable interest justifying intervention in the Samish recognition proceeding under any scenario. The only statement the Ninth Circuit did make in any way related to this issue was to note that **if** a party's protected interest will be impaired, then that party has been allowed to intervene. *Greene I, supra*, 996 F.2d at 977. The Court then went on to hold, however, only that any interest the Tulalip might have on the issue (without any ruling that they did have an interest) could be raised in any action the Samish brought in *United States v. Washington* if the Samish

Tribe decided to relitigate its treaty fishing rights once it successfully achieved federal recognition. *Id.* at 977-78.¹³

To recognize petitioners' due process arguments would significantly undermine federal "exclusive" or "plenary" authority over Indian affairs. *See Seminole Indian Tribe v. State of Florida*, 517 U.S. 44, 62 (1996); *Delaware Tribal Business Comm. v. Weeks*, 430 U.S. 73, 83-84 (1977). Even more significantly, it would overturn a long-standing, critical conclusion of law in *Washington I*. Petitioners, not the Samish Indian Tribe, would throw settled law into question. *See* Petition for Certiorari, p. 3.

Federal recognition of Indian tribes is a political question committed exclusively to the Executive and Legislative Branches. It is generally not subject to judicial review.¹⁴ The federal courts are required to defer to the political branches' determination that a group of Indians constitutes a tribe. *Samish Indian Tribe, supra*, 394 F.3d at 1158. As Judge Boldt ruled in *Washington I*:

The recognition of a tribe as a treaty party or the political successor in interest to a treaty party is a federal political question on which state authorities and federal courts must follow the determination by the legislative or executive branch of the Federal Government.

¹³ The court also identified the Tulalips' only interest as a possible dilution of its treaty fishing allocation, *id.* at 976, and held that an economic stake in the harvest of fish, even if significant, is not a significantly protectable interest for due process purposes. *Id.*

¹⁴ This issue is discussed at great length in the *Samish Indian Nation* decision at 419 F.3d at 1369-1373. For example: "As a political determination, tribal recognition is not justiciable." 419 F.3d at 1370 (citing *United States v. Holliday*, 70 U.S. (3 Wall.) 407, 419 (1865)).

384 F.Supp. 312, 400 (Conclusion of Law # 9). Other Indian tribes or parties have no legal right to infringe upon this Executive Branch authority.¹⁵ Even when the Executive Branch has “canalized” the previous discretion of its officials through federal acknowledgment regulations establishing an acknowledgment process, *Miami Nation of Indians v. Dept. of Interior*, 255 F.3d 342, 348 (7th Cir. 2001), Executive Branch recognition authority essentially remains a non-justiciable political decision. *Samish Indian Nation*, 419 F.3d at 1370-73.

In the Samish recognition proceeding, the federal government found that the Samish Tribe is the political successor in interest to the historical Samish Tribe that was a signatory to the Treaty of Point Elliott. *Samish Indian Tribe*, 394 F.3d at 1159-1161; see *Greene II*, 64 F.3d at 1270 (“the Tulalip Tribe emphasizes that in the petition for recognition, the Samish Tribe has not claimed to be any tribe other than the historical Samish Tribe that was party to the Treaty of Point Elliott. To the extent that the Samish rely upon historical roots in this litigation, the roots are probably the same as those they posited in *Washington II*”); see *Samish Tribe of Indians v. United States*, 6 Ind. Cl. Comm’n 169, 172 (1958) (“We conclude that petitioner, which alleges it is a tribal organization

¹⁵ This conclusion applies to a federal decision to enter into a treaty with an Indian tribe as well as Executive Branch administrative recognition of a tribe. As the Federal Circuit noted in the *Samish Indian Nation* decision, “[t]here are generally three means by which the federal government can recognize an Indian tribe.” 419 F.3d at 1369-70; see F. Cohen, *Handbook of Federal Indian Law* 5-6 (1982 ed.). Two of the three methods referred to are by treaty or by executive branch action taken pursuant to authority delegated by Congress. *Id.* There is no judicial review of the treaty authority under the U.S. Constitution that is relevant to this case.

recognized by the Secretary of Interior of the United States, has shown itself to be the descendants and successors in interest of the Samish Indians of aboriginal times.”). The Tulalip Tribes claimed they had a right to intervene in the Samish administrative recognition proceedings under F.R.C.P. 24, because of the alleged impact Samish recognition might have on it. *See Greene I, supra*, 996 F.2d at 976-78. The Federal Rules of Civil Procedure, however, do not grant substantive rights to a party. *See Chemehuevi Indian Tribe v. Cal. St. Bd. of Equal.*, 757 F.2d 1047, 1053 (9th Cir.), *rev'd on other grounds*, 474 U.S. 9 (1985) (statute authorizing Federal Rules of Civil Procedure, 28 U.S.C. § 2072, specifies that the rules “shall not abridge, enlarge or modify any substantive right”).

The federal acknowledgment regulations do not grant the Tulalip Tribes or any other party the right to challenge the federal government’s decision to recognize an Indian tribe. 25 C.F.R. Part 83. The regulations allow only a limited right of participation by “interested parties.” *E.g.*, 25 C.F.R. § 83.1 (definition of interested party); 25 C.F.R. § 83.11 (right of interested party to request reconsideration of recognition decision). The only judicial review available under the APA to review a federal acknowledgment decision for an Indian tribe is to “ensure that the government followed its regulations and accorded due process.” *Samish Indian Nation, supra*, 419 F.3d at 1373 (citing *Miami Nation, supra*, 255 F.3d at 348). In all other aspects, the federal government’s decision to recognize an Indian tribe remains a political act. *Id.*

While petitioners claim that their due process rights were violated in the Samish recognition proceedings, they have never shown in what manner their rights were

actually injured or how they were denied from participating in or presenting any evidence or arguments in opposition to Samish recognition. The Tulalip and other tribes participated in the Samish recognition proceeding as interested parties. *Greene I*, 996 F.2d at 975. They participated as amicus parties in the remanded recognition hearing. *Id.*, 996 F.2d at 976, 978. The Tulalip Tribes submitted numerous briefs on every conceivable issue in those proceedings. The United States actively opposed Samish recognition and vigorously litigated the issue on behalf of other tribes; petitioners have never demonstrated how the United States' representation in that proceeding was deficient or did not represent their interests. As just one example, the United States presented several representatives of the Swinomish Indian Tribal Community, one of the petitioning tribes here, as witnesses against Samish recognition. See Memorandum in Support of Samish Indian Nation's FRCP 60(b)(6) Motion to Reopen Judgment, (W.D.Wash.), No. 70-9213, Sub-proceeding 01-2, CR 44, Ex. 6, p. 15, Recommended Decision on Samish Recognition, United States Dept. of Interior, Office of Hearings and Appeals, Aug. 31, 1995. Petitioners' due process arguments are theoretical; they are not grounds for granting certiorari.

Petitioners' due process arguments also carry no weight with regard to equitable allocation of the treaty fish harvest among tribes. Equitable considerations are not available to defeat or interpret the treaty rights of a tribe. *United States v. Washington*, 157 F.3d 630, 649-50 (9th Cir. 1998). In *Washington I* the District Court held that the tribes with fishing rights under the various Puget Sound treaties had a right to 50 percent of the harvestable fish passing through their traditional off-reservation

fishing grounds. *Samish Indian Tribe, supra*, 394 F.3d at 1154. No property right to any specific number or percentage of fish has been decided in *United States v. Washington*.¹⁶

[T]he Indians are entitled to an equitable apportionment of the opportunity to fish in order to safeguard their federal treaty rights (citation omitted). The district court's apportionment does not purport to define property interests in the fish; fish in their natural state remain free of attached property interests until reduced to possession. *Ger [v. Connecticut]*, 161 U.S. 519, 529 (1896). Rather, the court decreed an allocation of the opportunity to obtain possession of a portion of the run.

Washington I, 520 F.2d at 687. The allocation of harvest and other details of actual exercise of Samish treaty rights are subject to equitable considerations, balancing the interests of the parties. *United States v. Washington, supra*, 157 F.3d at 652-55.

The other treaty tribes, as set forth in the *Greene* decisions, will have the right to state their positions on equitable allocation of a portion of the harvest to Samish in *United States v. Washington* once the Samish Tribe obtains treaty status. Petitioning tribes do not have and had no property interest sufficient to allow them to separately

¹⁶ See *Menominee Indian Tribe v. United States*, 391 U.S. 404 (1968), which stated that hunting and fishing rights can constitute a property right. Petitioners here claim a property right interest, but *Menominee* did not determine the "precise nature and extent of those hunting and fishing rights" in that case, 391 U.S. at 407, and did not rule that there was a property right in the allocation of an overall Indian treaty harvest right among all treaty tribes.

challenge Samish recognition. The opportunity to challenge Samish treaty harvest allocation is apparent from ongoing sub-proceedings in *United States v. Washington*; adjustment to treaty harvest allocations and challenges to tribal shares of treaty harvest are ongoing, without assertion by a tribe that due process property rights have been violated. *See, e.g.*, Sub-proceeding No. 91-1 (Halibut fishery, Order dated May 3, 2005, Docket 17954, Order declining to adopt interim halibut management plan); Sub-proceedings 05-1 and 05-02 (Skokomish dispute with regard to Hood Canal fishery allocation plan); Sub-proceedings 05-3 and 05-4 (challenges by petitioners Tulalip, Upper Skagit, and Swinomish to Suquamish usual and accustomed fishing grounds and stations). The Court should deny the petition for certiorari.

B. The Ninth Circuit's Decision On Rule 60(B)(6) Issues Is Consistent With Precedent And Does Not Create A Conflict With Other Circuits.

The Ninth Circuit's decision involved only subsection (6) of Rule 60(b): "On motion and upon such terms as are just, the court may relieve a party . . . from a final judgment, order, or proceeding for . . . (6) any other reason justifying relief from the operation of the judgment." Contrary to assertions of petitioners, this case does not and has never involved subsections (1) or (3) of Rule 60(b). Petitioners' attempt to strain the language of Rule 60(b) to implicate subsections (1) and (3) in this case are completely without merit.

The Ninth Circuit applied the Rule 60(b)(6) precedents of this Court and of the Ninth Circuit under Rule 60(b)(6) in *Samish Indian Tribe* to reach a fact based conclusion that extraordinary circumstances existed under

the Rule sufficient to require that the judgment against the Samish Tribe in *Washington II* be vacated: “As the Samish’s recognition was a circumstance beyond the tribe’s control, their subsequent recognition is an extraordinary circumstance that warrants setting aside the judgment in *Washington II*.” 394 F.2d at 1161. *See id.* at 1159. The Court of Appeals relied on two of its Rule 60(b)(6) decisions for this conclusion, *United States v. Alpine Land & Reservoir Co.*, 984 F.2d 1047 (9th Cir. 1993), and *Cnty. Dental Servs. v. Tani*, 282 F.3d 1164 (9th Cir. 2002), both of which applied Rule 60(b)(6) standards established by this Court in *Klapprott v. United States*, 335 U.S. 601 (1949); *Ackermann v. United States*, 340 U.S. 193 (1950), and *Liljeberg v. Health Services Acquisition Corp.*, 486 U.S. 847 (1988).

The present case does not involve a change of law as petitioners assert. The Ninth Circuit followed its own precedent and the precedent of other Circuits in ruling in favor of the Samish Indian Tribe. Subsection (3) of Rule 60(b) does not apply to the specific factual extraordinary circumstances relied upon by the Ninth Circuit to grant Rule 60(b)(6) relief in this case. Petitioners do not even allege that Rule 60(b)(6) was mis-applied in this case or that the Ninth Circuit violated precedent under the Rule in its decision.¹⁷ There is no conflict in the case law or between the Circuits under Rule 60(b)(6) and the Court should deny certiorari in the present case.



¹⁷ Supreme Court Rule 10 states that petitions for a writ of certiorari are disfavored when the asserted error consists of erroneous factual findings or the misapplication of a properly stated rule of law.

CONCLUSION

For the foregoing reasons, the Petition for Certiorari should be denied.

Respectfully submitted on behalf of the Samish Indian Tribe this 7th day of November, 2005.

Craig J. Dorsay
Counsel of Record
2121 S.W. Broadway, Suite 100
Portland, OR 97201-3180
(503) 790-9060

Counsel for Respondent
Samish Indian Tribe